

Mutual Funds Subscription Form



PLEASE COMPLETE IN BLOCK LETTERS

Other Information

Are you subscribed to any other Emerging Africa Asset Management Limited product? Yes No

***Politically Exposed Persons (PEP) are persons who are or have been (in the past) entrusted with a prominent public function (e.g., Heads of State or Government, Governors, Local Government Chairmen, Senior Politicians, Senior Government Officials, Judicial or Military Officials, Senior Executives of State Owned Corporations, Important Political Party Officials, Members of Royal Families) both in foreign countries and in Nigeria, including their family members or close associates.

Signature	Date
Designation (Corporate Applicant)	

Joint Applicant's Signature	Date
Designation (Corporate Applicant)	

Corporates should please ensure that at least two (2) authorized signatories sign, state their designations and append the Common Company Seal.

Please indicate signature mandate: _____

Email and Telephone Indemnity

I/We, the undersigned (Name): _____ hereby declare that the information provided in this form is complete and accurate to the best of my/our knowledge. I/We agree that all documents provided are valid and authentic and Emerging Africa Asset Management Limited is authorized to verify any or all of the information provided.

I/We understand and acknowledge that by not fully and accurately completing this form, any recommendation and/or advice given by Emerging Africa Asset Management Limited in these circumstances, may be inappropriate to my/our needs. As a result of the aforementioned, I/We may lose the right to seek compensation from Emerging Africa Asset Management Limited for any loss suffered by me/us as a consequence of such actions.

I/We further agree to indemnify Emerging Africa Asset Management Limited against any losses whatsoever suffered by my/ourselves or Emerging Africa Asset Management Limited as a result of Emerging Africa Asset Management Limited acting based on the stated Telephone number and e-mail address.

I/We hereby consent that the e-mail address(es) and telephone number(s) provided in this form will be my/our preferred means of communication.

Signature: _____ Joint Applicant's Signature: _____

Date: ____/____/____ Date: ____/____/____

EMERGING AFRICA ASSET MANAGEMENT LIMITED

Office Address: 25A Bourdillon Road, Ikoyi, Lagos

E-mail Address: assetmanagement@emergingafriagroup.com; customerservice@emergingafriagroup.com

Website: www.emergingafriagroup.com

Telephone: +234 704 805 2489

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KYC Checklist

Individual

- Passport Photograph
- Proof of Address (e.g. LAWMA Bill, Electricity Bill - valid within 3 months)
- Valid Means of Identification (e.g. Driver's License, International Passport)
- Birth Certificate (for minors)

Corporate

- Board Resolution authorizing opening of account and appointed signatories
- Signature Mandate
- Form CO2 and CO7, Certificate of Incorporation and MEMART
- Valid means of identification of Directors and Signatories
- Proof of Address of the Company and Signatories
- Passport Photograph of Directors and Signatories

Parent/Legal Guardian Consent

I am the parent/legal guardian of _____ and I understand that my permission and authorization is required for Emerging Africa Asset Limited to provide financial services to my child/ward.

I further understand that Emerging Africa Asset Management Limited will not be permitted to provide financial advisory, capital raising and investment management services to my child/ward unless I provide my permission by signing this form.

In my capacity as the parent/legal guardian of your client, I hereby understand, agree, authorize, and provide my consent, as the case may be:

1. To allow Emerging Africa Asset Management Limited to open an account for my child/ward;
2. That Emerging Africa Asset Management Limited and I will comply with all of banking, investment and financial services rules and procedures; and
3. To receive newsletters, e-mails, and public enlightenment materials;
4. Rectification of clients' data;
5. Research and statistical purpose; and
6. Profiling client data on Emerging Africa Asset Management Limited website and portals; and Retention and disclosure any of the client personal information to Emerging Africa Asset Management Limited affiliates, its authorized third parties and service providers, and others as may be necessary to prevent unlawful activities or as required by law.

I, the undersigned, certify that I am the parent or legal guardian of the child/legal ward (named above) and that I have the right to make decisions for my child/legal ward that effect his/her well-being.

I CERTIFY THAT I AM 18 YEARS OF AGE OR OLDER AND THAT I HAVE READ, FULLY UNDERSTAND AND AGREE TO THE TERMS OF THIS AGREEMENT, AND I SIGN IT VOLUNTARILY WITH FULL KNOWLEDGE OF ITS SIGNIFICANCE.

Name of Parent/Legal Guardian

Date and Signature of Parent/Legal Guardian

____/____/____

Official Use Only:

Endorsed by
Data Protection Officer:

Name: _____

Signature and Date: _____

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Nigeria Data Protection Regulation Data Subject Consent

Emerging Africa Asset Management Limited will process the above data, along with any other data you subsequently give us, in line with the Nigerian Data Protection Regulation 2019. The data will be used to give you statements and provide the company's products and services to you; for internal assessment and analysis; for the detection and prevention of fraud and other criminal activities which the company is under legal obligation to report; to develop and improve the company's services; for direct marketing, such as to inform you, by mail, telephone, e-mail or other electronic means, about other products and services, provided by the company, the company's affiliate or merchant partners, in order to improve your overall customer experience and for research purposes. For more information, please read our Privacy Notice on our website www.emergingafriagroup.com.

Please note that your personal data may be disclosed to, exchanged with or processed by employees of the company. You have the right to be informed by the company, at your request, about the personal data held by the company about you that is processed and to request to correct such information where necessary. Should the data you provided to the company change, the company must be informed without undue delay.

I/We hereby consent to the processing of my/our Personal Data (within or outside Nigeria), including transfer of my/our Personal Data to any third party for reasons associated with the purpose for which the data is being processed as stated above.

We will retain information about you after the closure of your account, if the investment relationship has terminated or if your application is declined or abandoned. For as long as permitted for legal, regulatory, fraud prevention and legitimate business purposes.

I hereby grant Emerging Africa Asset Management Limited and all its third-party processors authority to process my personal data, for the purpose of;

- Opening an account
- Receiving transaction alert
- Accessing the company on-line customer portal
- Receiving investment updates and newsletters
- Receiving email, promotions and marketing materials
- Rectification of my personal data
- Financial Services Education
- Asset and portfolio management
- Research and statistical purpose

I am aware this is necessary for Emerging Africa Asset Management Limited legitimate interest to process personal information for the purpose of processing my request.

I consent to Emerging Africa Management Limited using my personal data for the purposes described in this notice and understand that I can withdraw my consent at any time using the Data Subject Consent Withdrawal Form.

Name of Individual providing Consent:

Date

Signature

_____/_____/_____

Address of Individual providing Consent:

Official Use Only:

Endorsed by
Data Protection Officer:

Name: _____

Signature and Date: _____

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